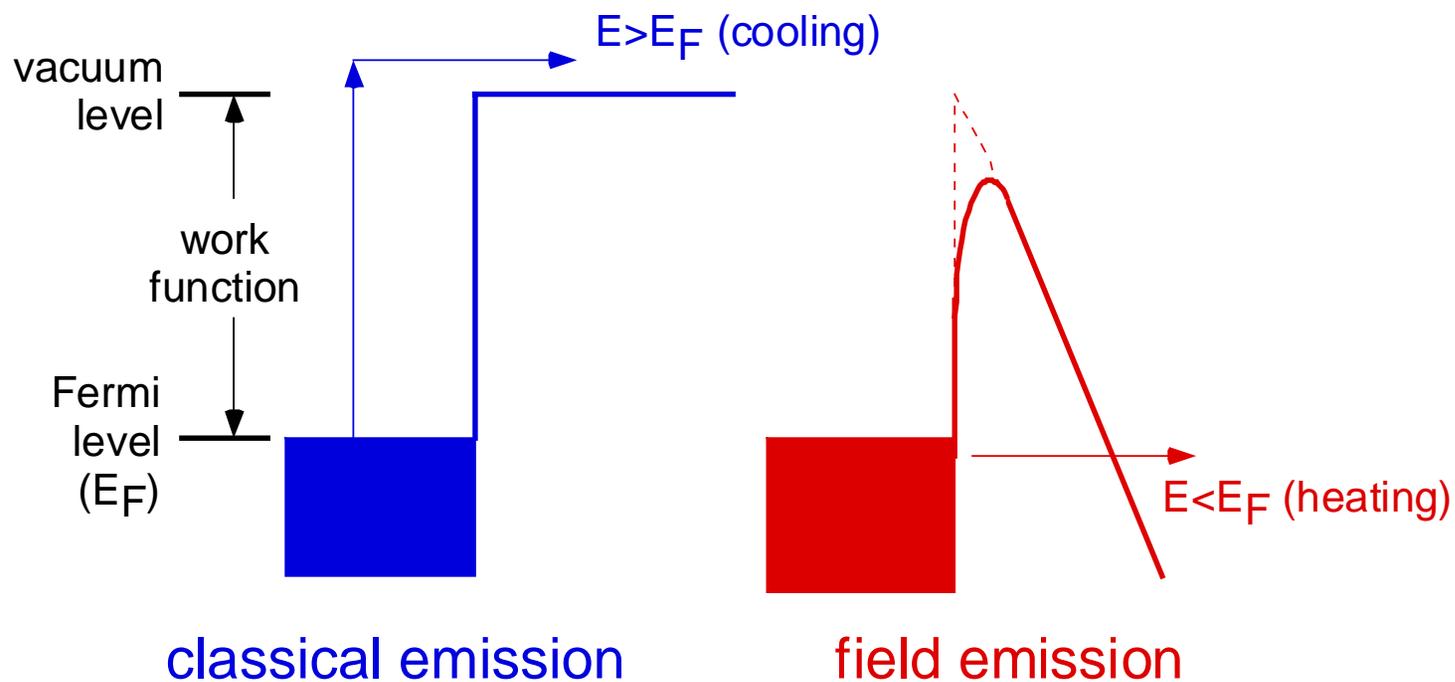


Scaling up total emission current from Field Emitter Arrays

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What is field emission?

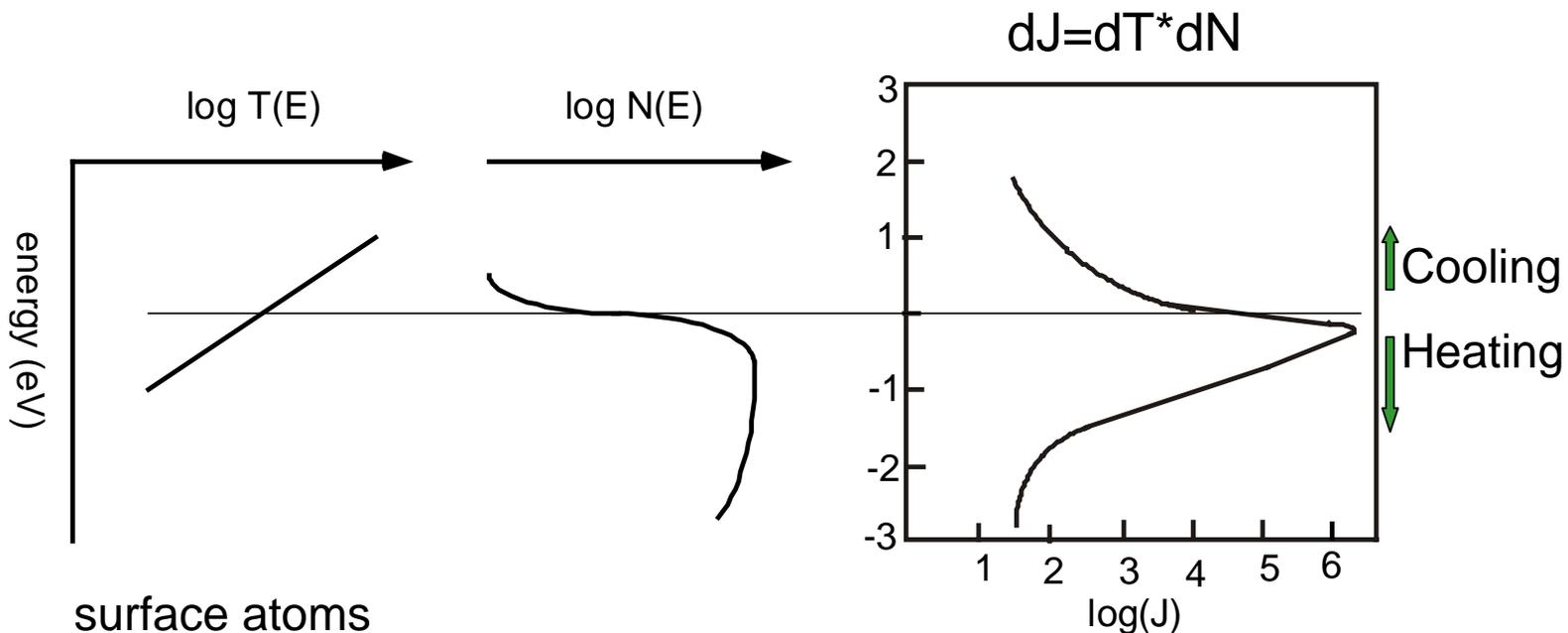


electrons *tunnel* from solid to vacuum

they don't have to be excited (with heat or light)



Field Emission Physics



surface atoms
can change $T(E)$

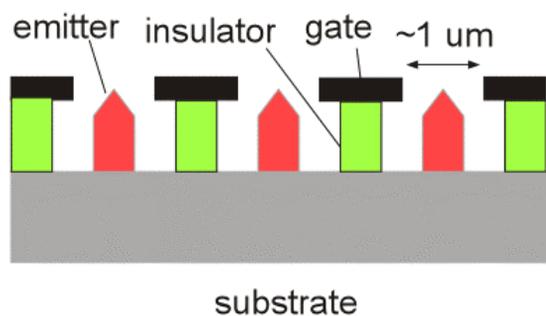
“technical” surfaces
usually *not* metallic

$N(E)$ may change with
emission current

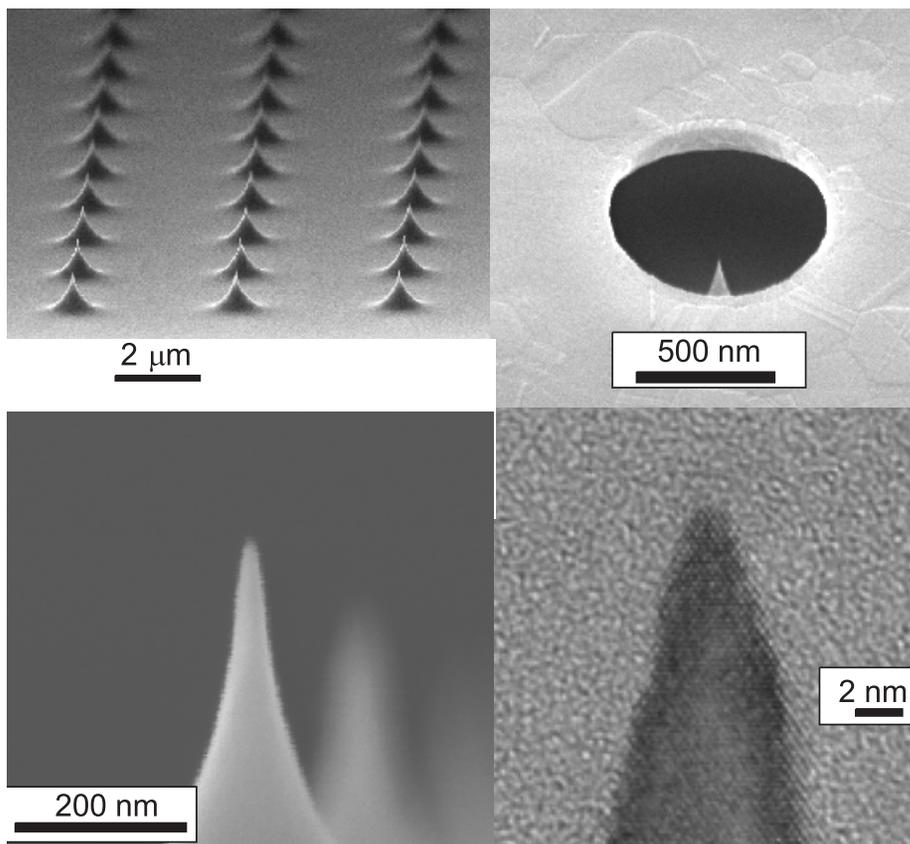


What is a field emitter array?

many, tiny and sharp emitters
(with gates) created with micro-
fabrication methods



Silicon FEA



Photos courtesy of M. Ding and T. Akinwande, MIT



FEA Features

very fast transit time (<1pS possible)

low gate current (<1%)

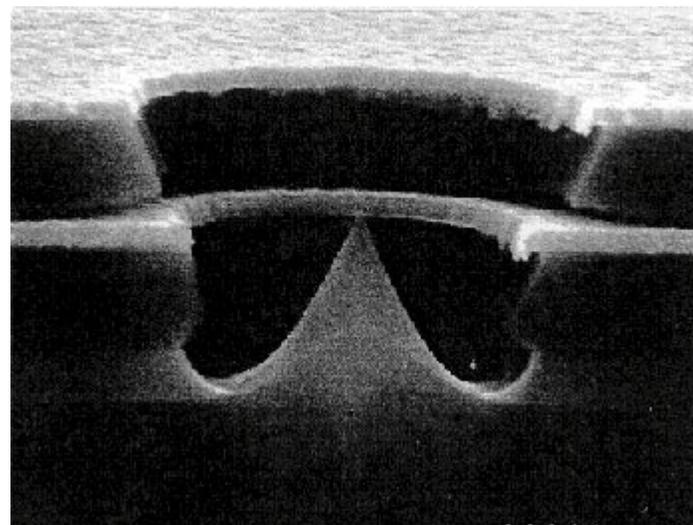
low gate voltage (<100V)

temperature insensitive

high current densities (up to 2000 A/cm²)

low emittance possible (with focusing)

non-linear I-V curve (row-column addressing possible)



J. Itoh et al, proc. IVMC 1994, p25



Potential FEA Applications

e-beam excitation
x-ray tubes
lasers
phosphor lamps, displays

gas ionizers
pressure, RGA
micro-satellite thrusters

high voltage electronics (>5kV)
switches
diodes



13.2" field emission display
www.candescent.com



Why aren't FEAs more widely used ?

Not all the tips emit equally

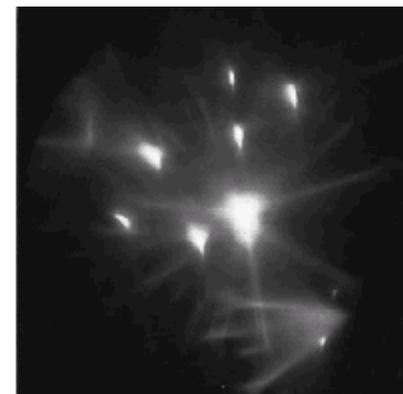
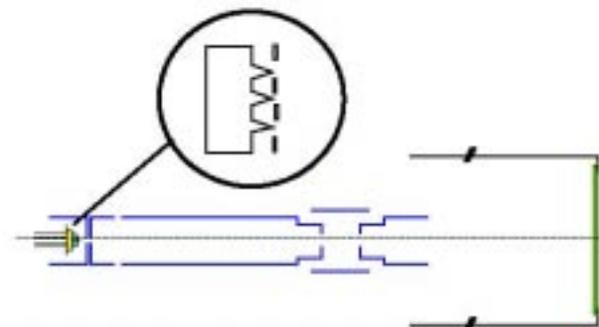
Arcs can destroy elements, short gates

Local emission not stable in time

Sensitive to reactive gas ($>10^{-8}$ torr)

Sensitive to:

- nm-scale features at tip apex
- adsorbed molecules/reacted layers
- work function



FEA emission image showing emitting sites

J. Itoh et al, proc. IVMC 1994, p25



What causes changes in the emission current?

1. Adsorbed molecules :
 - reduce the density of electrons near E_F {change $N(E)$ }
 - may form dielectric layers that reduce transport to the surface
2. Emission and transport through dielectric layers
 - removes adsorbed molecules
 - breaks bonds dielectric layers
3. Resulting states increase transport and emission
4. Adsorption rate increases
 - electron stimulated desorption generates additional gas
 - higher surface electron density is more reactive
 - e-beam cracks molecules like CO, N₂, O₂
5. States are removed by new adsorbed molecules

Dynamic balance between cleaning and adsorption



How to make an Arc

Initiation

Low current (<100uA):

Adsorbed layer bonds broken **electronically**
by Auger-like processes

High current (>1mA):

Bonds in emitter broken **thermally** (evaporation)
by Nottingham effect + bulk scattering

Positive Feedback

Desorbed material ionized by E-beam
ion bombardment removes additional material

Dissipate (Stored) Energy

gate-tip voltage and capacitance $E = CV^2$

arcs may be reduced by blocking any step

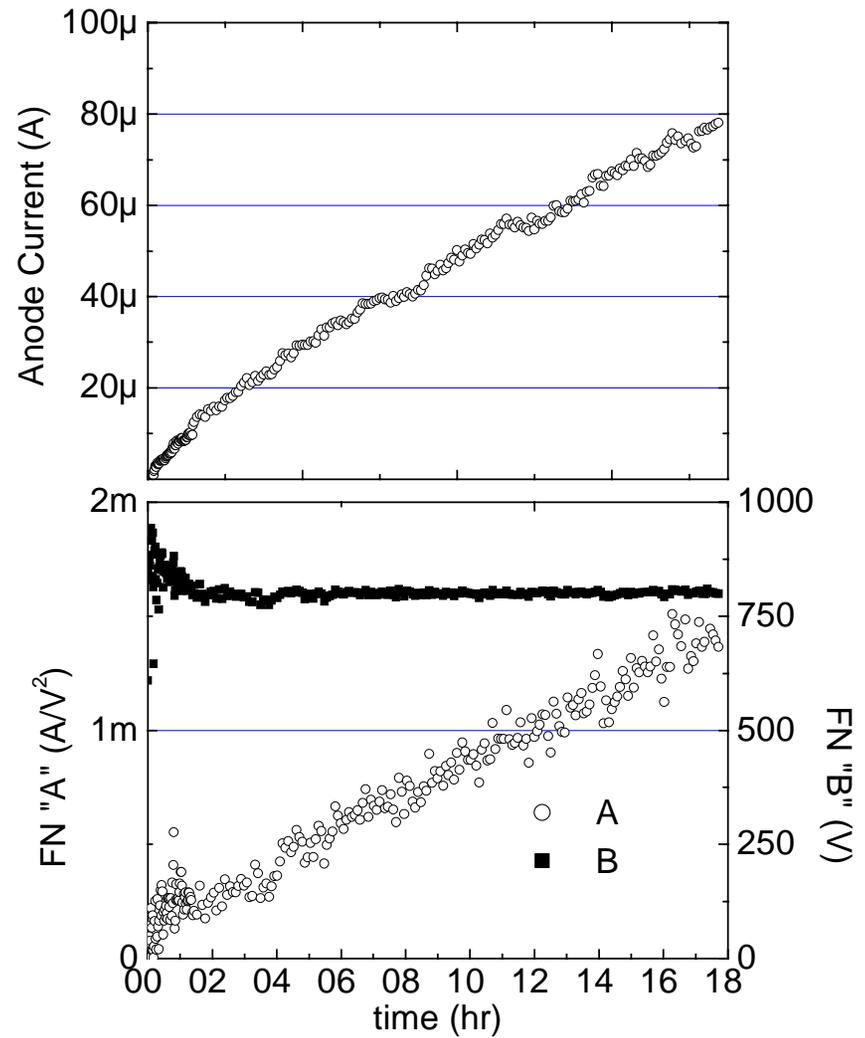


Initial rise in current

Increase in current due to increase in A, where

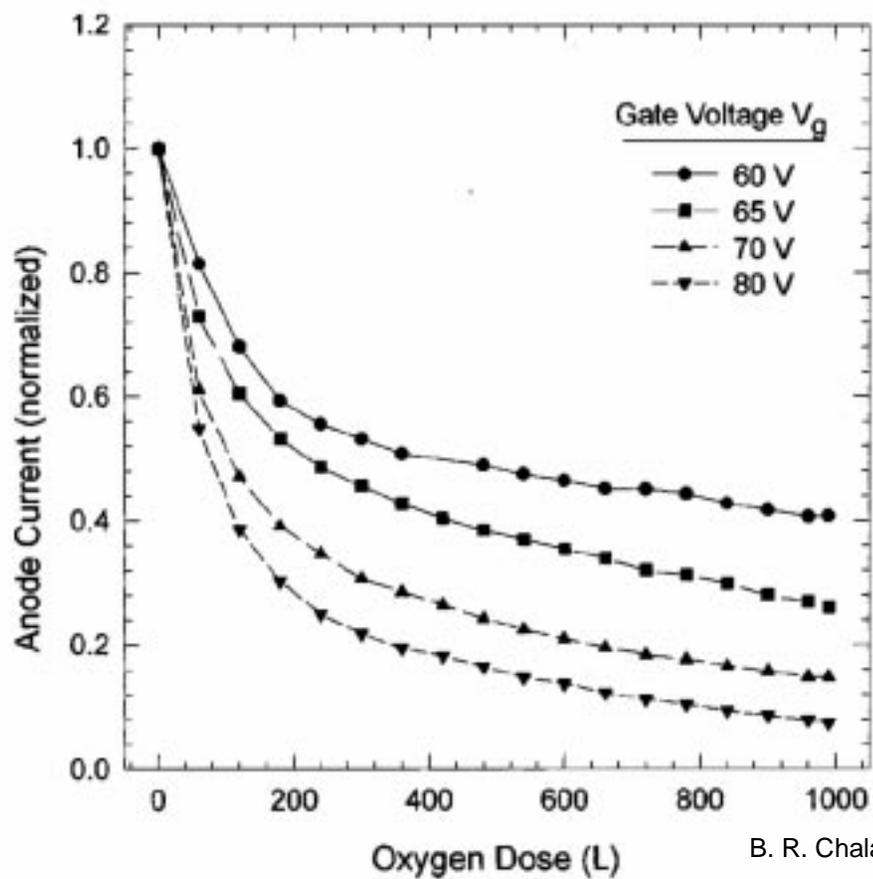
$$I = AV^2 \exp(-B/V)$$

$A \sim N(E)$, area





Emission Reduced by Gas Exposure

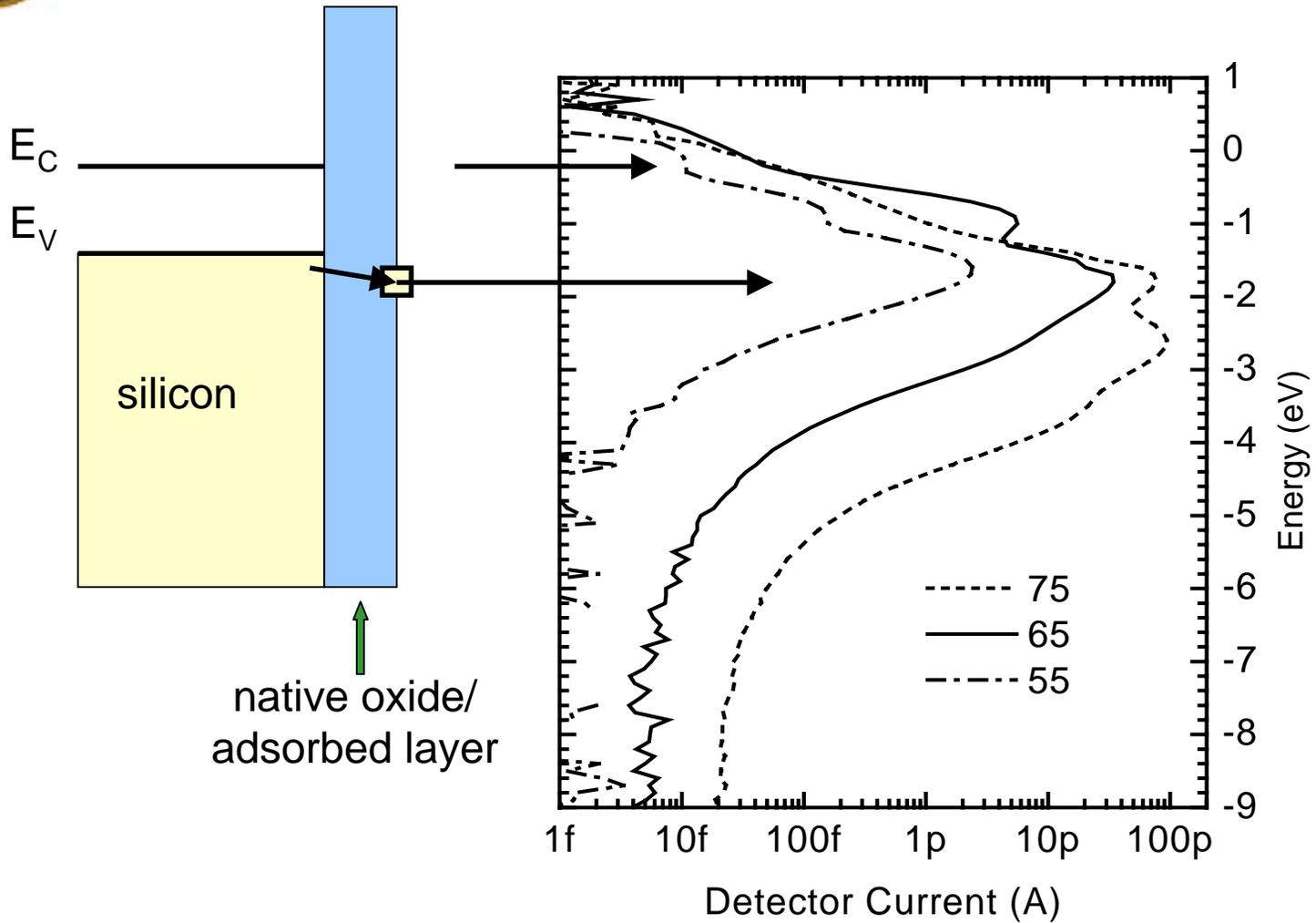


B. R. Chalamala et al, JVST B 16 2859 (1998)

Degradation Rate Increases with Emission Current



Oxide states mediate emission



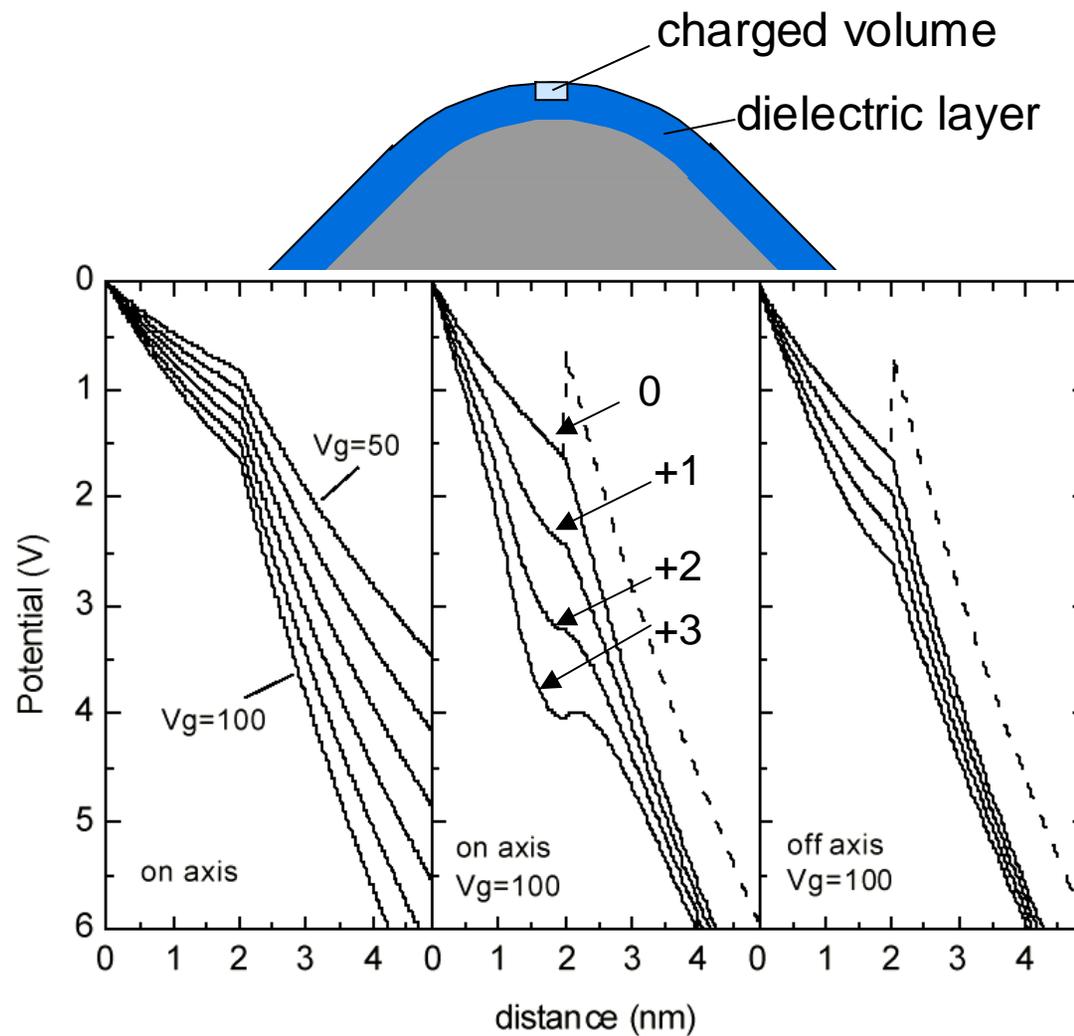
J. Shaw, JVST B18, 1817 (2000)

Scaling up total emission current from
Field Emitter Arrays

Workshop on Cathodes for Relativistic
Electron Beams 10 May 2001



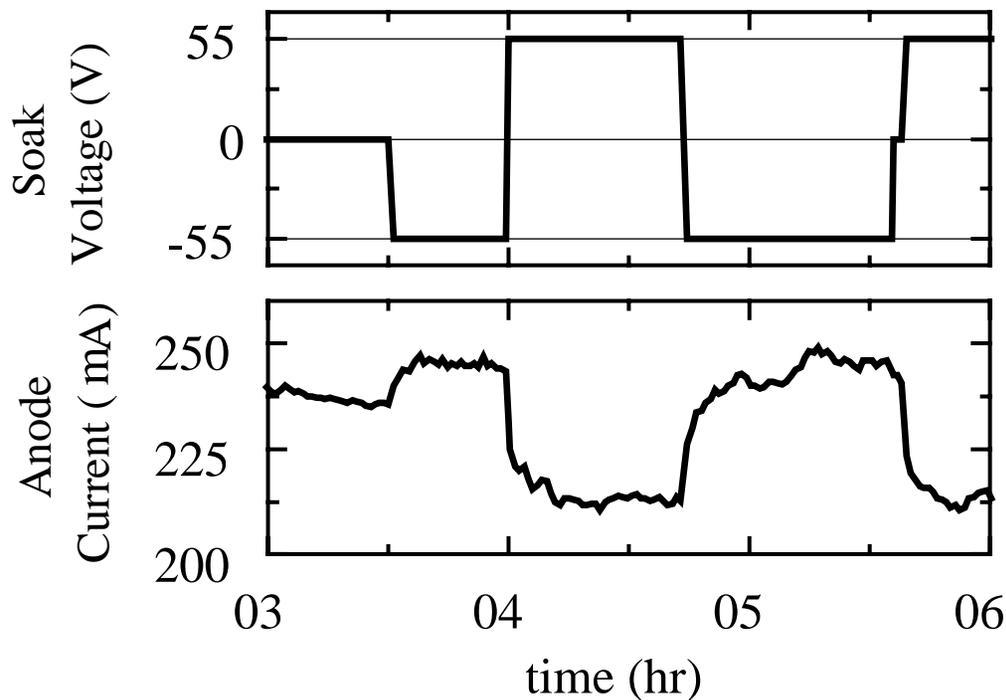
Local potentials from single charges





Hysteresis (gate voltage memory) effects

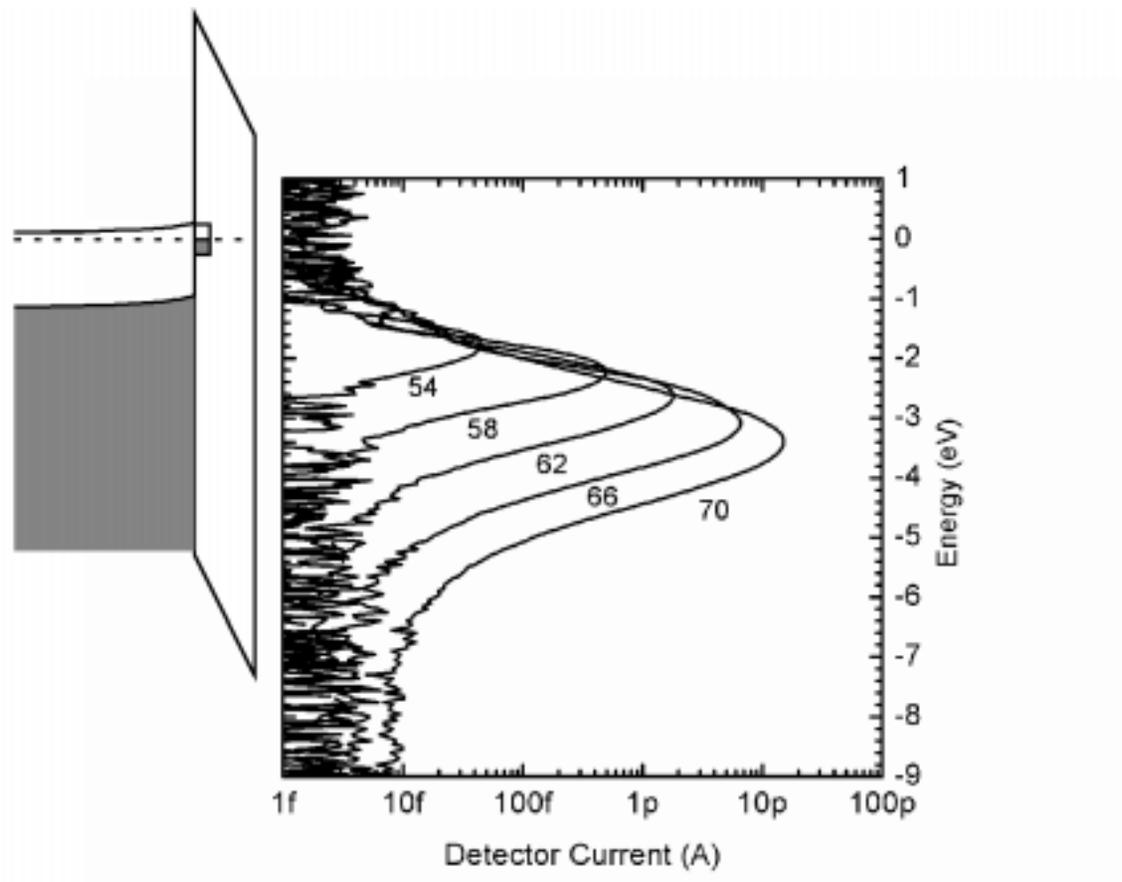
Voltage applied
before current
measurement



Larger emission when prior V_g is negative



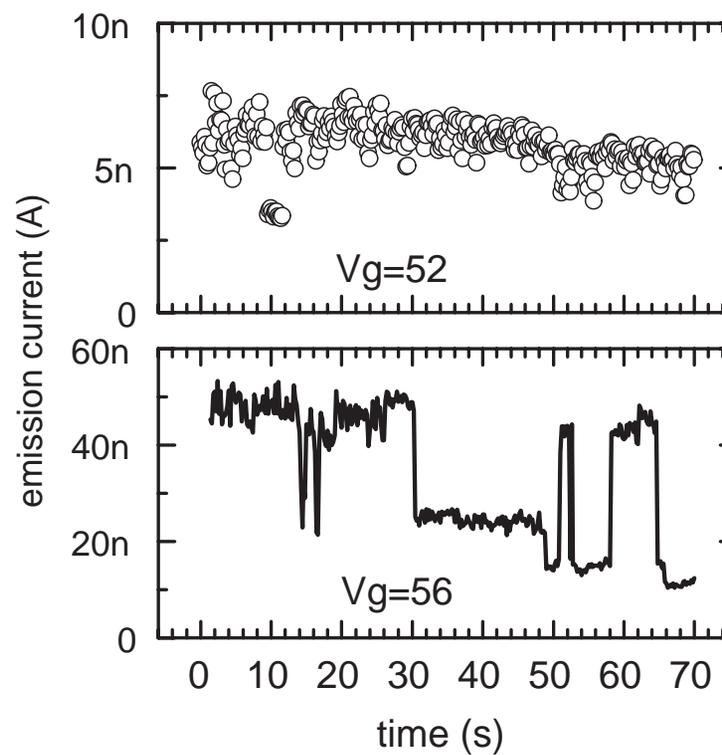
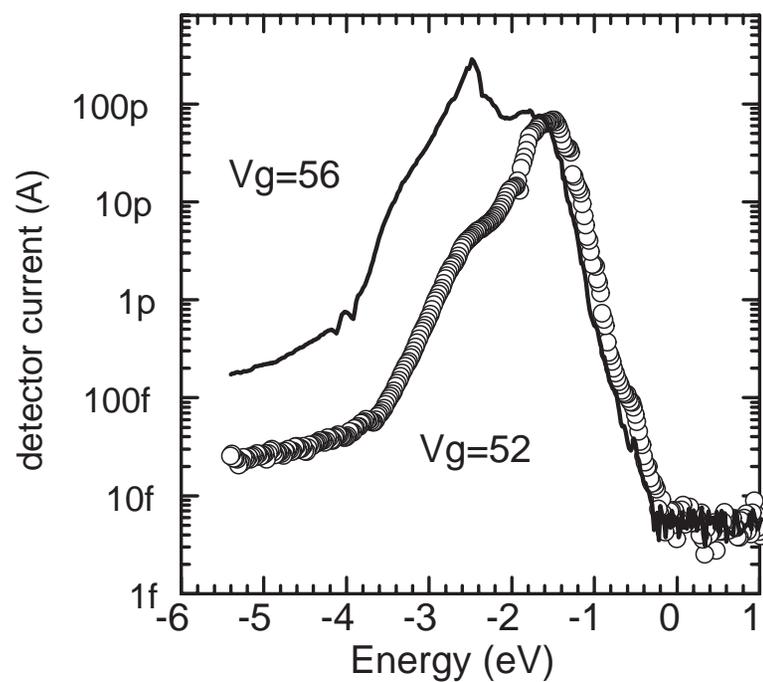
Emission limited by oxide transport



Emission near E_F does not increase

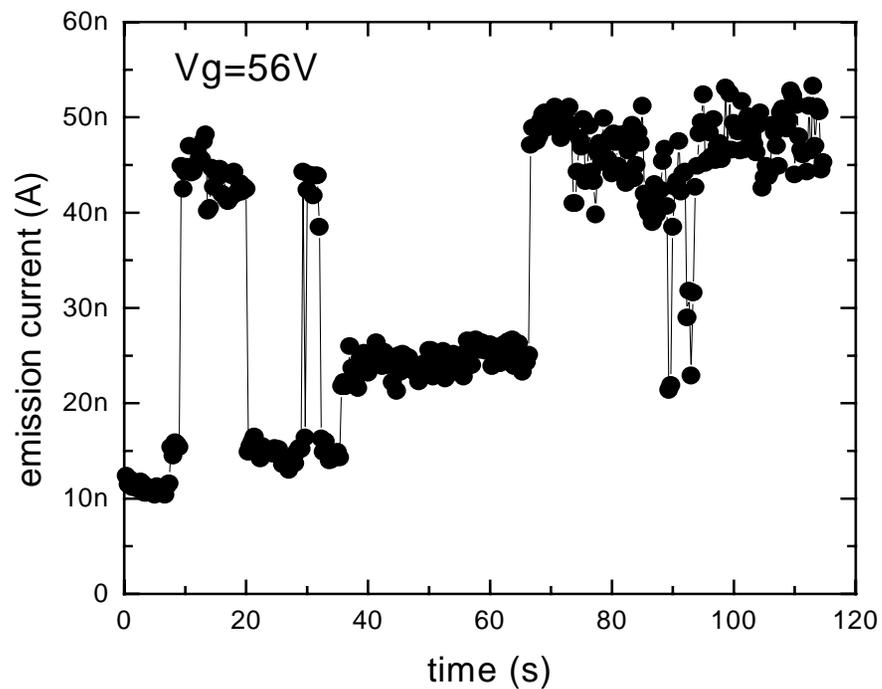
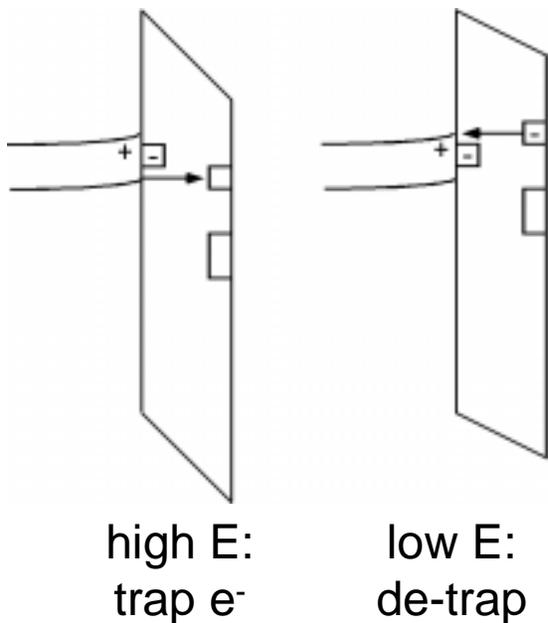


Fluctuating emission from oxide states



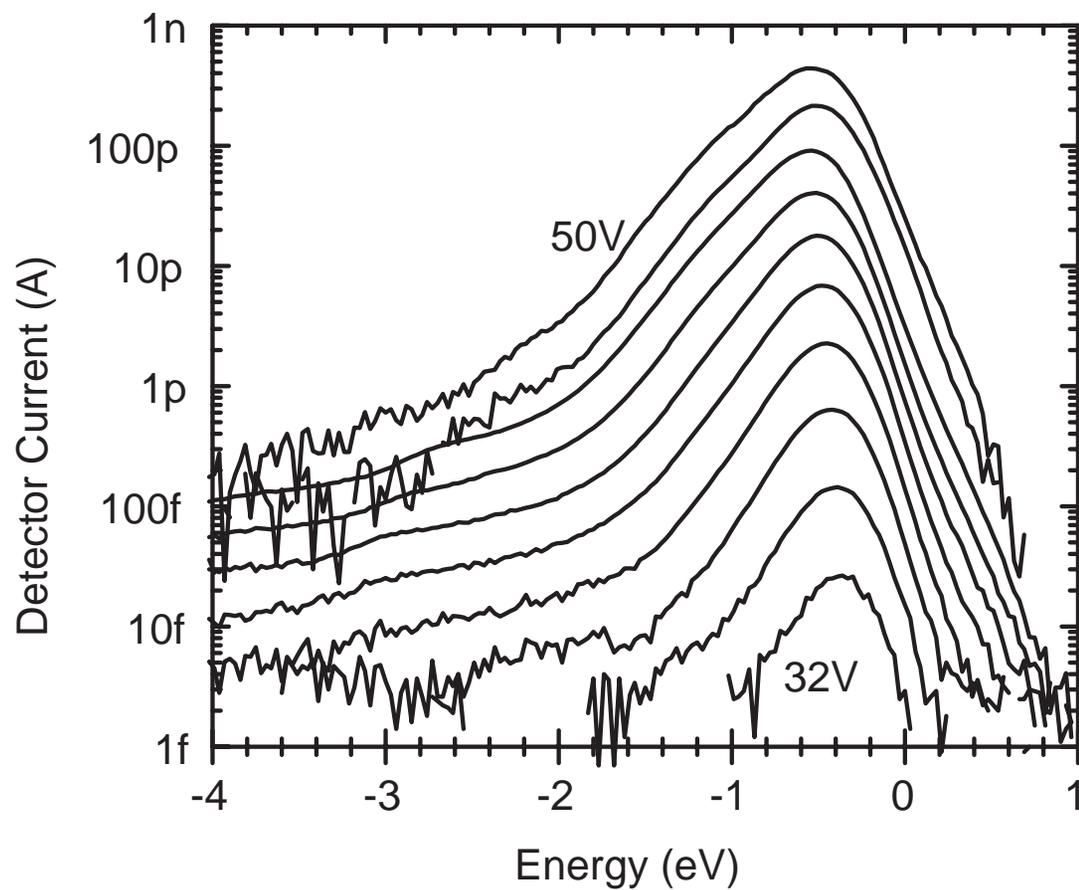


Hysteresis & fluctuations from trapped charge





Oxide removed by emission





What can be done to improve performance?

Use emitter materials that:

- do not form dielectric layers
- have high field enhancement
 - low gate voltage
 - low stored energy
 - gas will not ionize

Remove adsorbates

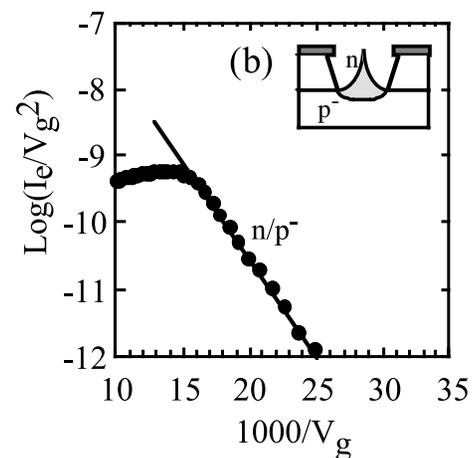
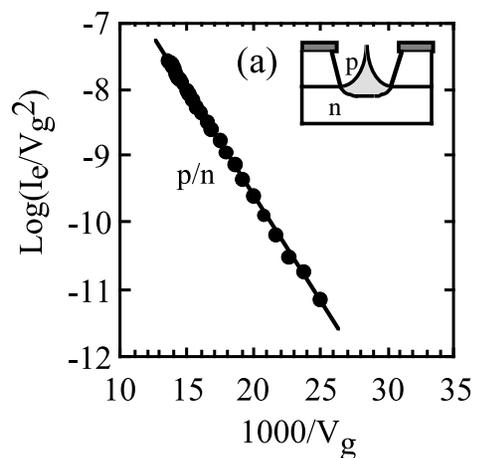
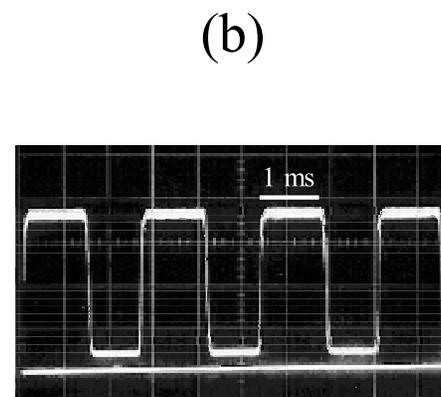
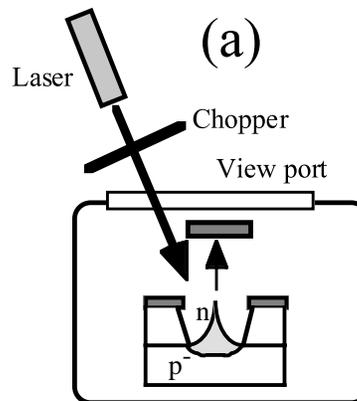
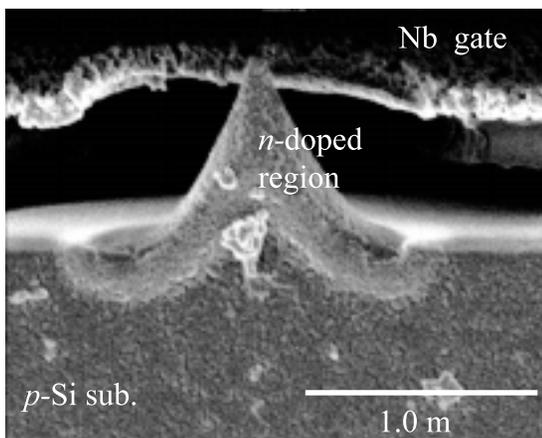
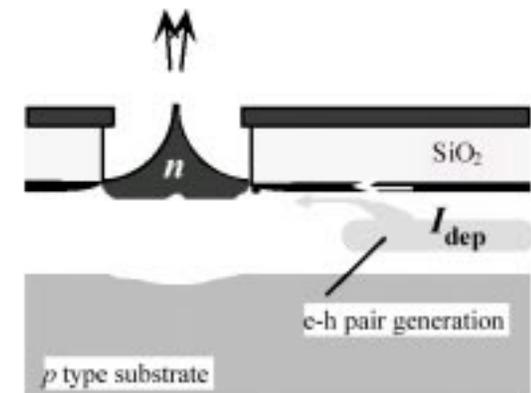
- heat, e-beam, ions
- ramp the emission current

Limit the emission current to prevent runaway

Limit the gate or emitter current to limit arc damage



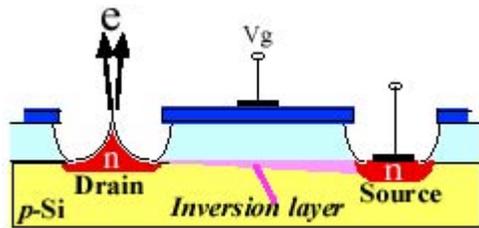
p-n junction limits emission



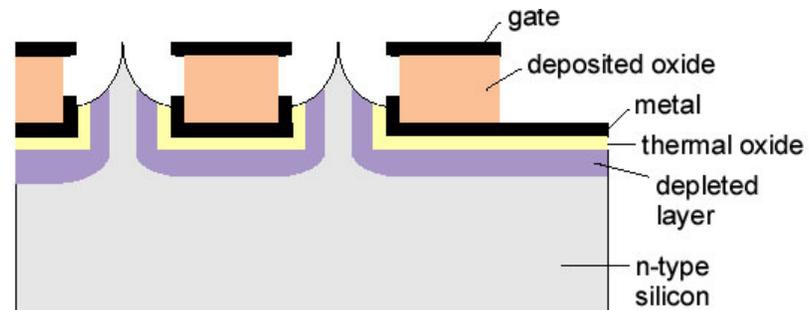
figures courtesy of J. Itoh



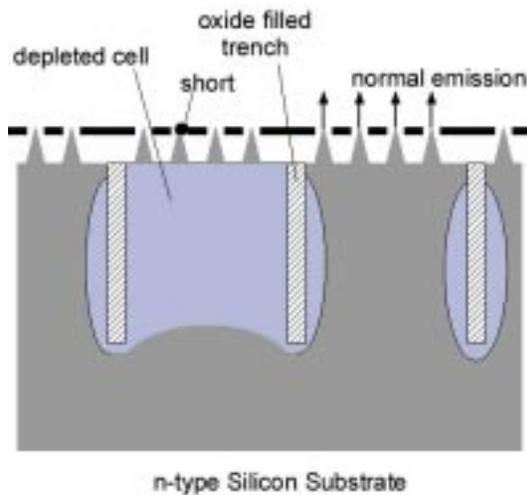
transistors limit emission



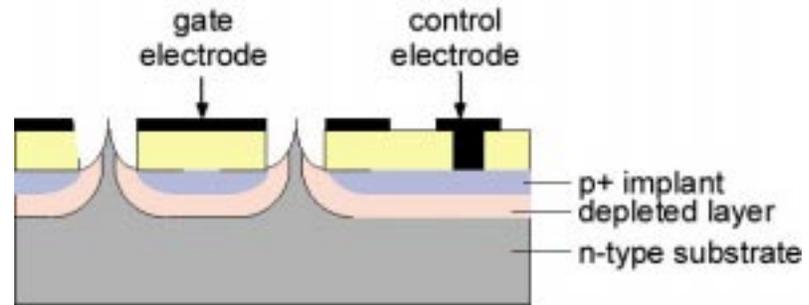
horizontal MOSFET



vertical MOSFET



Vertical Emission Current Limiter



vertical JFET



Emission-limiting techniques

Upside

- improve uniformity
- reduce or eliminate arcing
- allow total current scale-up
- provide alternative modulation gate

Downside

- increase electron energy dispersion
- reduce or eliminate ability to focus
- increase gate voltage
- create temperature sensitivity
- increase fabrication cost

Emission limits could be used only for initial conditioning



Self-assembled emitters: Carbon Nanotubes

chemically stable (high work function!)

volatile oxide

long scattering length ($>1\mu\text{m}$)

high mechanical strength

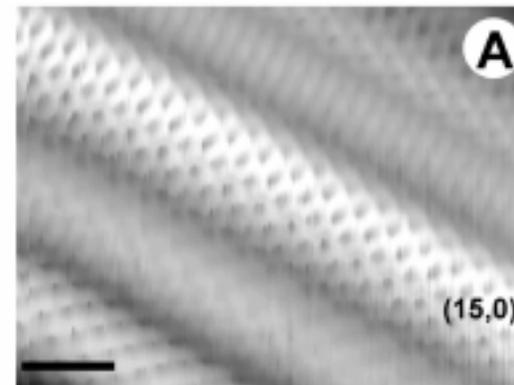
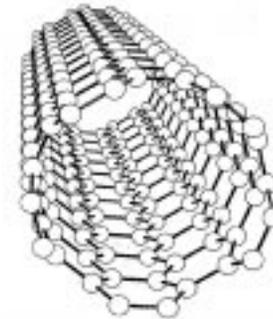
high thermal conductivity

high field enhancement (~ 1000)

low density of states near E_F
(may limit emission current)

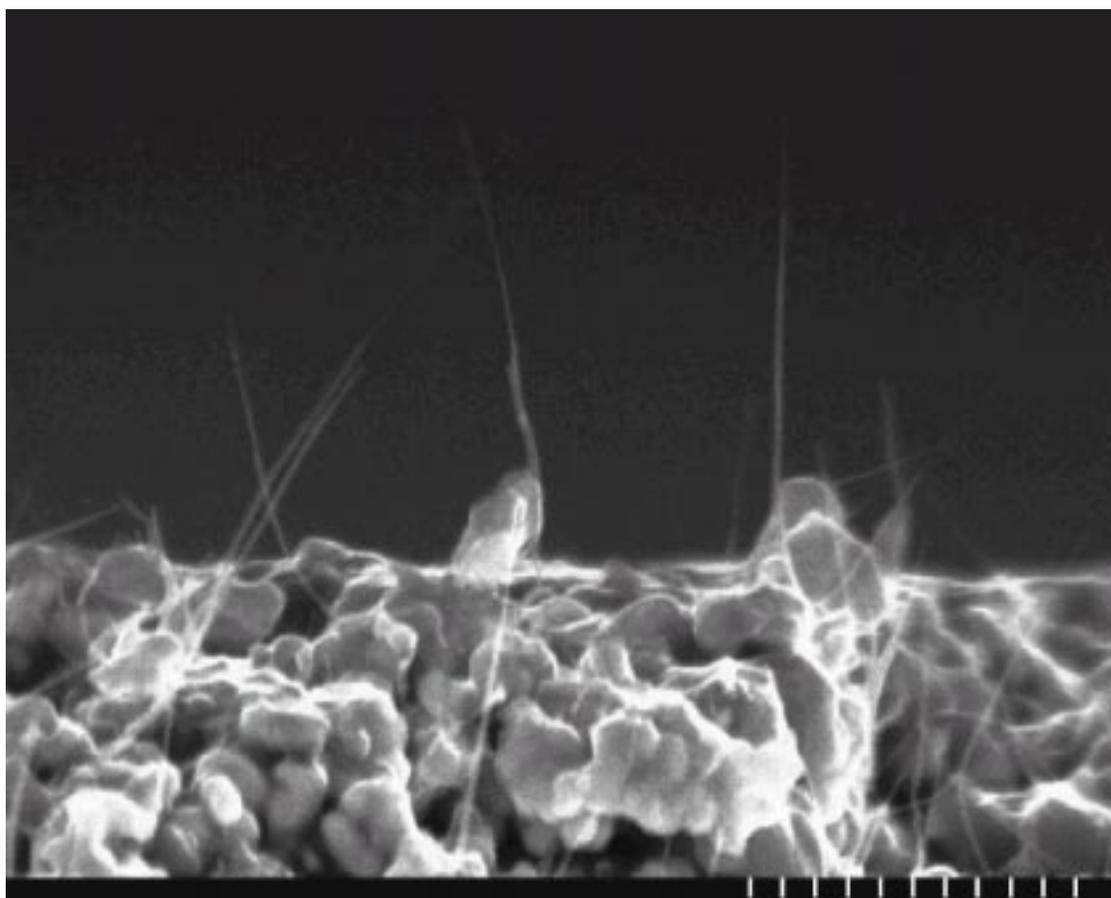
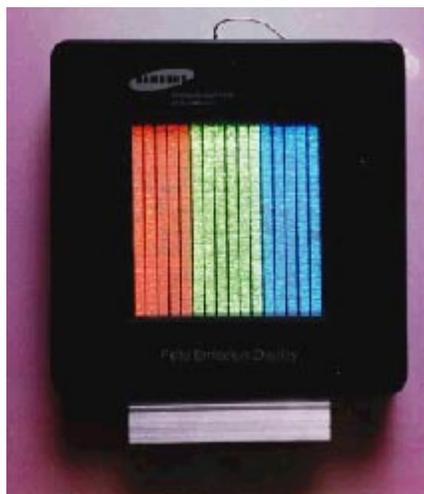
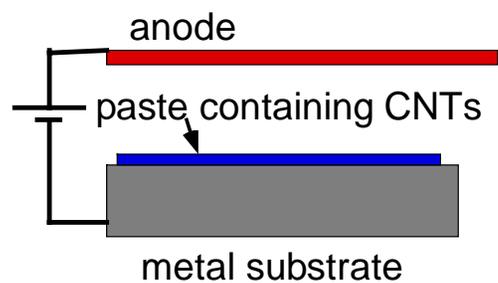
no shorts due to tube burn-out

water adsorption *increases* emission, *negative* feedback





Ungated CNTs grown ex-situ

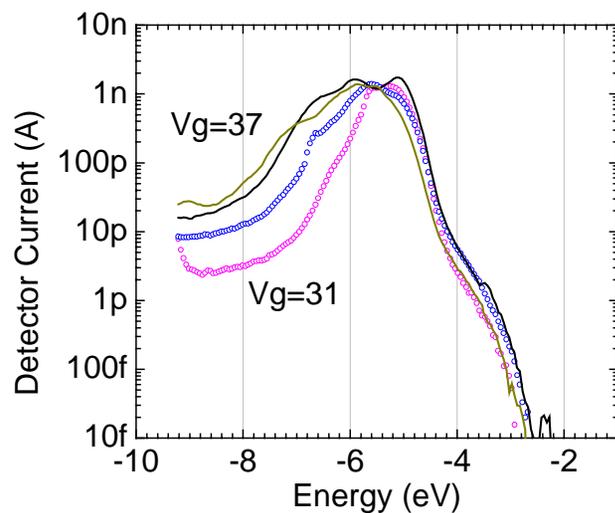
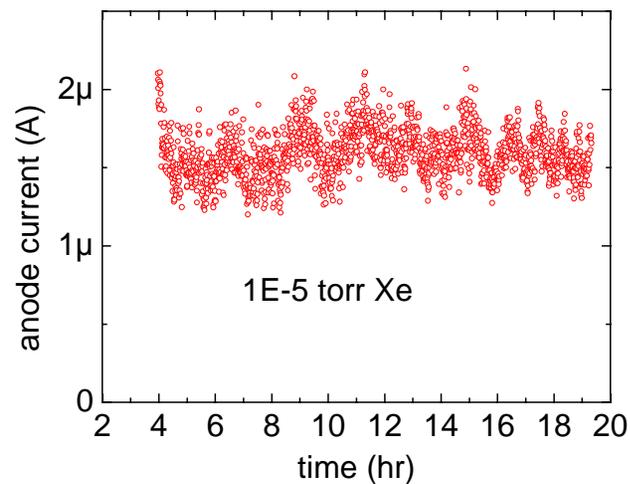
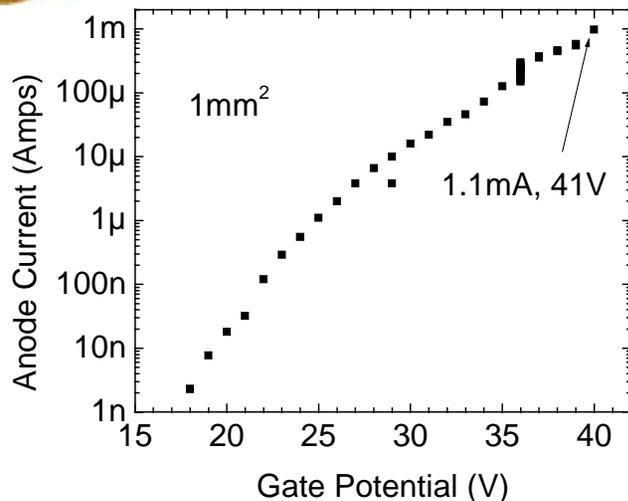


W. B. Choi et al, APL 75 3129 (1999)

500nm



Emission from gated carbon nanotubes

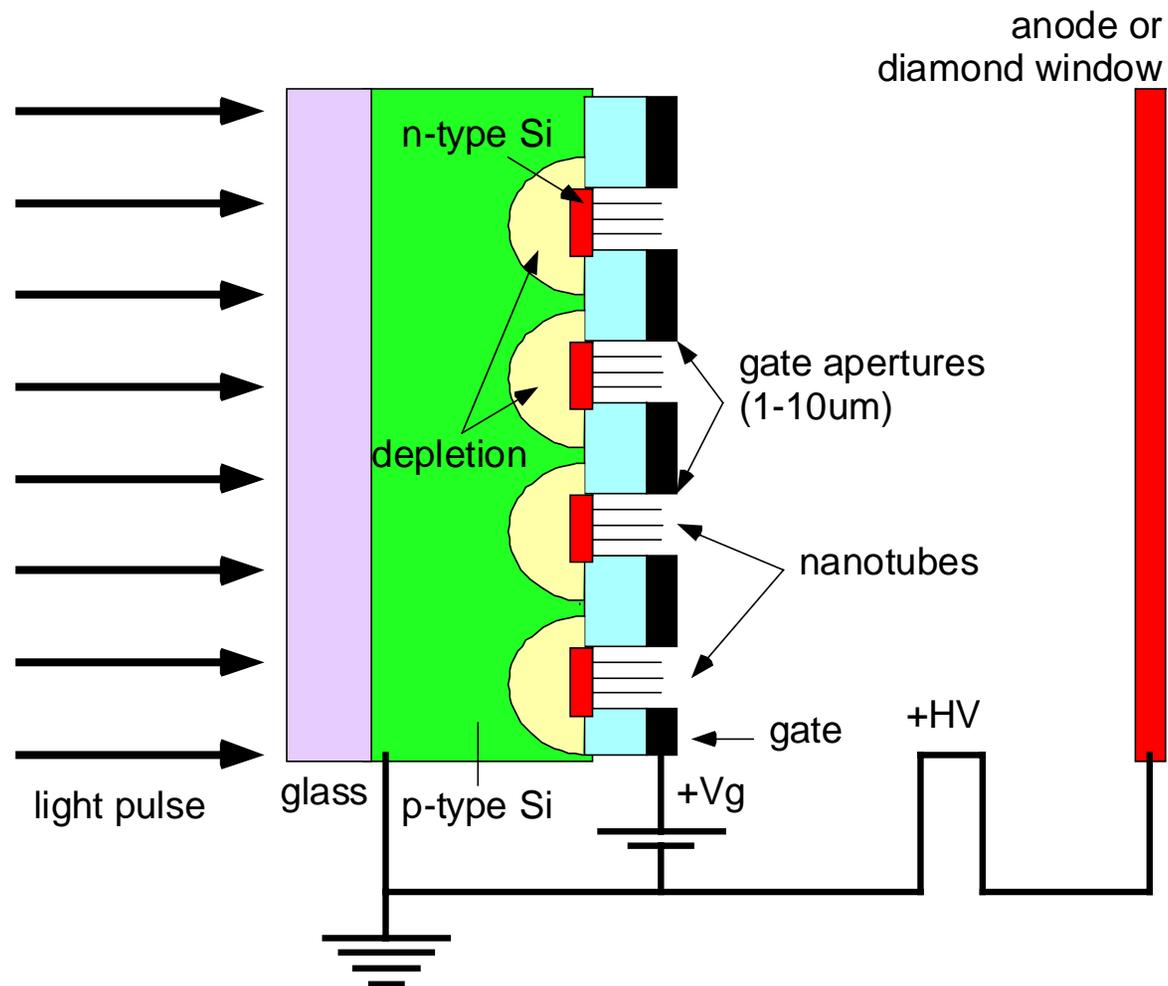


Low voltage
 $T < 600\text{C}$
 10^{-5} torr Xe, H₂O
emission saturates

D. S. Y. Hsu and J. L. Shaw



junction-limited CNT FEA





Take-home messages

Current-limiting or negative feedback
needed for uniform emission

Clean (dielectric free) surfaces required for
high emission current w/o plasmas

Carbon seems to provide a clean surface
without external treatment or emission ramp-up

CNTs provide high field enhancement features
over large areas at low-cost